



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Derry Roopenian

Application No.: 09/993,322

Filed: November 6, 2001

Examiner: Not yet assigned

Art Unit: Not yet assigned

Title: FcRn-BASED THERAPEUTICS FOR THE TREATMENT OF AUTO-
IMMUNE DISORDERS

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with
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KEVIN M. FARRELL, P.C.

Kevin M. Farrell 9/13/02

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

This Information Disclosure Statement is submitted:

☐ under 37 CFR 1.97(b), or

(Filed within three months of filing national application;
within three months of date of entry of national stage of
international application; or before mailing date of first
office action on the merits; whichever occurs last)

☒ under 37 CFR 1.97(c) together with either:

☒ a Certification under 37 CFR 1.97(e), or

☐ a \$180.00 fee set forth in 37 CFR 1.17(p), or

(Filed after the CFR 1.97(b) time period, but before final
action or notice of allowance)

The undersigned hereby certifies that each item of
information contained in the Information Disclosure
Statement was cited in a communication from a foreign patent
office in a counterpart foreign application not more than
three months prior to the filing of the statement.

- ☐ under 37 CFR 1.97(d) together with:
 - ☐ a Certification under 37 CFR 1.97(e), and
 - ☐ a fee set forth in 37 CFR 1.17(p).

(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Method of Fee Payment:

- ☐ Enclosed is a check in the amount of \$_____.
- ☐ Please charge Deposit Account 06-0130 in the amount of \$_____.
- ☐ Two duplicate copies of this Statement are enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account 06-0130.

Enclosed herewith is form PTO-1449:

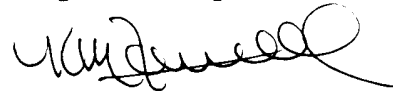
- ☒ Copies of the cited references are enclosed.
- ☐ Copies of cited references are enclosed except those of record in prior applications, U.S. Serial Nos. _____, and references that are not required to be submitted under Rule 98.

Concise Explanation Requirements:

- ☐ The "concise explanation" requirement under C.F.R. 1.98(a)(3) is satisfied by:
 - ☐ the explanation provided on the attached sheet.
 - ☐ the explanation provided in the Specification.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,



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York Harbor, ME
Dated: 9/12/02
JL\ARC\2010.IDS